

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK

12

ATTORNEY'S DOCKET NUMBER  
33082R116TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (if known,  
see 37 CFR 1.5)

10/048012

INTERNATIONAL APPLICATION NO.  
PCT/JP00/04987INTERNATIONAL FILING DATE  
July 26, 2000PRIORITY DATE CLAIMED  
July 26, 1999TITLE OF INVENTION  
TRANSFER SYSTEM FOR OBJECT TO BE PROCESSED, AND SEMICONDUCTOR FABRICATING SYSTEM WITH SAME

APPLICANT(S) FOR DO/EO/US ---Hiroaki Sacki, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
  - b. ☒ has been transmitted by the International Bureau (see Form 308) c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2))
  - a. ☒ Amendments to the claims of the International Application under PCT Article 34
    - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau)
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  - b. ☒ A translation of the amendments to the claims under PCT Article 34 (35 U.S.C. 371(c)(3)).
7. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
8. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 34

Items 11. to 16. below concern other document(s) or information included:

1. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (w/ copy of PTO-1449 and each reference cited therein and Int'l Search Rept)
2. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
3. ☐ A FIRST preliminary amendment.
4. ☐ A SECOND or SUBSEQUENT preliminary amendment.
5. ☐ A substitute specification.
6. ☐ A change of power of attorney and/or address letter.
7. ☒ Other items or information:
  - a) Cover sheet of PCT Publ. WO 01/08211 with International Search Report (PCT/ISA/210) in English;
  - b) Translation of Amendment (June 15, 2001) Under Article 34 of PCT including claims to be prosecuted and drawing set;
  - c) Notification Concerning Submission or Transmittal of Priority Document (Form PCT/IB/304);
  - d) Formal Drawings (included with application) and a Proposed Drawing Amendment with 2 sheets of amended drawings with red corrections shown (Fig. 6 and Fig. 8)
  - e) Preliminary Examination Report (Form PCT/IPEA/409 and PCT/IPEA416) in Japanese
  - f) Form PCT/IPEA/401 (in Japanese)
  - g) Notification of Receipt of Record Copy (Form PCT/IB/301)
  - h) Formal Drawing Transmittal containing sheets 6/8 and 8/8 with corrections incorporated (on the assumption of approval of the changes in the Proposed Drawing Amendment).

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U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK

TRANSMITTAL LETTER TO THE UNITED STATES  
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CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER  
33082R116

U.S. APPLICATION NO. (if known, see  
37 CFR 1.55) 10/048012

17. The following fees are submitted:				CALCULATION	PTO USE ONLY
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO ..... \$890.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$760.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$96.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.495(e)).				\$ -	
Claims	Number Filed	Number Extra	Rate		
Total Claims	6- 20 =	0	x \$18.00	\$ -	
Independent Claims	1- 3 =	0	x \$84.00	\$ -	
Multiple dependent claim(s) (if applicable)			+ \$280.00		
TOTAL OF ABOVE CALCULATIONS =				\$ 890.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00	
SUBTOTAL =				\$ 890.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ -	
TOTAL NATIONAL FEE =				\$930.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				\$ 40.00	
TOTAL FEES ENCLOSED =				\$930.00	
				Amount to be refunded	\$
				charged	\$

- a. ☒ A check in the amount of \$930.00 to cover the above fees is enclosed.  
 b. ☐ Please charge my Deposit Account No. 02-4300 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.  
 c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required with respect to any deficiency in the above noted "Basic National Fee", or credit any overpayment to Deposit Account No. 02-4300.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SMITH, GAMBRELL & RUSSELL, LLP  
1850 M Street, NW - Suite 800  
Washington, DC 20036

Tel: (202) 659-2811  
Fax: (202) 659-1462



SIGNATURE

Dennis C. Rodgers, Reg. No. 32,936

NAME REGISTRATION NO.

Date: January 25, 2002

33082R116

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hiroaki Saeki, et al.

International Application No.: PCT/JP00/04987

International Filing Date: July 26, 2000

U.S. Serial No.: To Be Assigned

Group Art Unit: To Be Assigned

Filed: : January 25, 2002 (Herewith)

Examiner: To Be Assigned

## FORMAL DRAWING TRANSMITTAL

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed herewith are two (2) sheets of Formal Drawings. The formal/corrected drawing sheets incorporate the changes appearing in the proposed drawing amendment filed concurrently herewith.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

By:

Dennis C. Rodgers, Reg. No. 32,936  
1850 M Street, N.W., Suite 800  
Washington, D.C. 20036  
Telephone: (202) 263-4300  
Fax: (202) 263-4329

Date: January 25, 2002

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PATENT

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Filed: : January 25, 2002 (Herewith)

Examiner: To Be Assigned

PROPOSED DRAWING AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231


Sir:

Submitted herewith for the Examiner's approval are two (2) sheets of drawings  
containing red ink corrections to Figures 6 and 8.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

By:

  
Dennis C. Rodgers, Reg. No. 32,936  
1850 M Street, N.W., Suite 800  
Washington, D.C. 20036  
Telephone: (202) 263-4300  
Fax: (202) 263-4329

Date: January 25, 2002

#6  
LETTER  
RE  
DRAWINGS  
CORRECTION  
5-14-02

RECEIVED  
JAN 25 2002  
PCT/PTO  
UNITED STATES PATENT AND TRADEMARK OFFICE